

Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER P21289
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED ELECTED OFFICE (DO EO US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09 869,334	
INTERNATIONAL APPLICATION NO. PCT JP00 00472	INTERNATIONAL FILING DATE 28 January 2000	PRIORITY DATE CLAIMED 29 January 1999	
TITLE OF INVENTION PROCESS FOR PRODUCING HMG-CoA REDUCTASE INHIBITORS		RECEIVED DEC 28 2000 TECH CENTER 1600/2	
APPLICANT(S) FOR DO EO US Hirofumi ENDO, Yoshiyuki YONETANI, Hiroshi MIZOGUCHI, Shin-ichi HASHIMOTO, and Akio OZAKI			
Applicant herewith submits to the United States Designated Elected Office (DO EO US) the following items and other information.			
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>"Executed"</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).</p>			
Items 11 to 16 below concern other document(s) or information included:			
11. Assignee: <u>KYOWA HAKKO KOGYO CO., Ltd. Of Tokyo, JAPAN</u>			
12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
14. <input type="checkbox"/> A FIRST preliminary amendment.			
<input checked="" type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.			
15. <input type="checkbox"/> A substitute specification.			
16. <input type="checkbox"/> A change of power of attorney and/or address letter.			
17. <input type="checkbox"/> Figure of Drawing to be published _____			
18. <input checked="" type="checkbox"/> Other items or information:			
Cover Letter.			
Response to Notification of Defective Response Dated October 31, 2001 and Statement that the Content of Paper and Computer Readable are the Same, (including diskette containing sequence listing, with paper copies are the same).			
Copy of form Notification of Defective Response.			

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09 869,334		INTERNATIONAL APPLICATION NO. PCT JP00 00472		ATTORNEY'S DOCKET NUMBER P21289			
19. <u> </u> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): • Search report has been prepared by the EPO or JPO. \$ 860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482). \$ 690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO(37 CFR 1.445(a)(2)). \$ 710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO. \$1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). \$ 100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS		PTO USE ONLY	
				RECEIVED DEC 28 2017 TECH CENTER 1600, 2			
Surcharge of \$130.00 for furnishing the oath or declaration later than <u> X </u> 20 <u> </u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00			
Claims		Number Filed		Number Extra		RATE	
Total Claims		39 - 20 =		19		X \$18.00	
Independent Claims		8 - 3 =		5		X \$80.00	
Multiple dependent claim(s) (if applicable)				+ \$270.00		\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 0.00			
<u> </u> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by <u> 1 </u> .				\$ 0.00			
SUBTOTAL =				\$ 0.00			
Processing fee of \$130.00 for furnishing the English translation later than <u> </u> 20 <u> </u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+		\$ 0.00	
Extension of Time fee in the amount of \$				\$ 0.00			
TOTAL NATIONAL FEE =				\$ 0.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+			
TOTAL FEES ENCLOSED =				\$ 0.00			
				Amount to be refunded		\$	
				Charged		\$	
a. <u> N.A. </u> A check in the amount of \$ <u> </u> to cover the above fees is enclosed.							
b. <u> </u> Please charge my Deposit Account No. <u> </u> in the amount of \$ <u> </u> to cover the above fees.							
c. <u> X </u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0089</u> .							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055 AT THE PRESENT ADDRESS OF: Bruce H. Bernstein GREENBLUM & BERNSTEIN, P.L.C. 1941 Roland Clarke Place Reston, VA 20191 (703) 716-1191							
				SIGNATURE Bruce H. Bernstein NAME <u>Bernstein, Bruce H.</u> 29,027 REGISTRATION NUMBER			